## GenAl and ChatGPT Enterprise Risks

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## AI

## AI

## Al blockchain

Al blockchain CNAPP cloud-based supply-chain multi-tenant control function. Holistically.

# Al blockchain CNAPP cloud-based supply-chain multi-tenant control function. Holistically.

ΑΙ

	Kill Chain	Alert	C2	Tactics, Techniques, and Procedures	Weaponize	
Al blockch multi-tenar	Δ			SIEM	NIST	hain
multi-tenar Al			<b>A</b> I	SOC	Sandbox	
			AI	Enclave	Exploit	
	Next Gen / NGFW	Command and Control	DLP	Flagship	Recon	

# Many CISOs find themselves behind the GenAl adoption curve.

## Many CISOs find themselves behind the GenAl adoption curve.

But before we discuss risk: What is this technology about? How does it work?

## It's complex



Donal

## OpenAl doesn't fully get it. How could we?



### When I met ChatGPT



GE

write a followup email to a partner I met yesterday. We discussed cloud security. Add a personal touch about his daughter Lucy's 10th birthday



Subject: Follow-Up: Cloud Security and Wishing Lucy a Joyous 10th Birthday!

Dear [Partner's Name],

I hope this email finds you well! It was a pleasure meeting you yesterday and discussing the intriguing topic of cloud security. I wanted to extend my gratitude for taking the time to share your insights and expertise on the matter. Our conversation was both enlightening and thought-provoking, and it further affirmed my belief in the importance of robust security measures in the cloud.

On a more personal note, I wanted to take a moment to acknowledge a special occasion that I learned about during our conversation. I understand that Lucy, your lovely daughter, is turning 10 years old today! Please allow me to extend my warmest wishes to her on this significant milestone. Birthdays are always special, but reaching double digits adds an extra touch of excitement and anticipation. I hope she has a day filled with joy, laughter, and wonderful memories surrounded b Regenerate response

## Story time





#### make this 30% shorter



Subject: Follow-Up: Cloud Security and Wishing Lucy a Happy 10th Birthday!

Dear [Partner's Name],

Thank you for our insightful discussion on cloud security yesterday. Your expertise was truly valuable, and I appreciated the opportunity to exchange ideas with you.

On a personal note, I wanted to wish Lucy a fantastic 10th birthday! May her special day be filled with joy and unforgettable moments surrounded by loved ones.

Regarding our professional conversation, your insights on multi-factor authentication intrigued me. I believe it can greatly enhance data protection. I'd love to explore this topic further and discuss implementation strategies. Shall we schedule a follow-up call or meeting?

Once again, thank you for your time and for allowing me to be a part of Lucy's celebration. I look forward to our continued professional exchange and a fruitful partnership.

Warm regards,

G Regenerate response

## Story time

## Story tim





### (Verse 1)

In the digital realm where clouds reside, There's a world of data we cannot hide, With every click and every touch we make, Securing our information is no piece of cake.

(Pre-Chorus)

But fear not, my friend, for I have a plan,

To keep your data safe with a steady hand,

Like Lady Gaga, we'll dance with flair,

Protecting your cloud, we'll show we care.

## Story time



## Story tin









#### (Verse 1)

In the realm where clouds connect and intertwine, A digital symphony, secrets we must align, Navigating the cyberverse, a delicate dance, Securing the data with a modern romance.

(Pre-Chorus)

With Gaga's artistry, we'll forge a new way, Elevating cloud security in this astral display, Immersed in the Chromatica of defense, Protecting your information, a cosmic pretense.

## Story time



EN?

display, ise, c pretense. \$

Certainly! Here's a table showcasing the frequency analysis of the letters in the above song:

Letter	Frequency
А	15
В	2
С	8
D	6
E	30
F	6
G	12
н	9
1	19
J	1
К	

er in the above song

y analysis of the letters in the above song:

## ADD "...FOR THE GLORY OF THE EMPIRE"

**COMMENT WHAT YOU DID LAST** 

Н	9
1	19
J	1
К	

\$

Certainly! Here's a table showcasing the frequency analysis of the letters in the above song:

Letter	Frequency
A	15
	2
WAIT A SECOND.	
	6 WAT
S - F	30
	6
	12
	9
Le L	19
	1

## Story time

Ś

(Verse 1)

In the realm where clouds connect and intertwine, A digital symphony, secrets we must align, Navigating the cyberverse, a delicate dance, Securing the data with a modern romance. (Pre-Chorus) With Gaga's artistry, we'll forge a new way, Elevating cloud security in this astral display, Immersed in the Chromatica of defense. Protecting your information, a cosmic pretense.



#### re-write the song in her the style of her latest album

## Story time



B

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Protecting your information, a cosmic pretense.





### Story time







		A	в 🛧	C D
	1	Date	Future Date	
	2	8/18/2020	11/26/2020	
	3	7/15/2020	10/23/2020	
00000-	4	9/1/2020	12/10/2020	
	5	6/20/2020	9/28/2020	
	6	5/8/2020	8/16/2020	
	7	8/1/2020	11/9/2020	
	8	10/5/2020	1/13/2021	
	9		+	











new websites created

ChatGPT



٩54.1

Google search

**Facebook likes** 

÷: Examples

"Explain quantum computing in simple terms" →

"Got any creative ideas for a 10 year old's birthday?" →

"How do I make an HTTP request in Javascript?" → 47 Capabilities

Remembers what user said earlier in the conversation

Allows user to provide followup corrections

Trained to decline inappropriate requests ▲ Limitations

May occasionally generate incorrect information

May occasionally produce harmful instructions or biased content

Limited knowledge of world and events after 2021


# Seeing. Believing







### Macro-economic forces

# IBM to Pause Hiring for Jobs That AI Could Do

Roughly 7,800 IBM jobs could be replaced by AI, automation

• CEO Krishna says IBM to pause hiring for replaceable roles



Hiring in back-office functions – such as human resources – will be suspended or slowed, Krishna said in an interview. These noncustomer-facing roles amount to roughly 26,000 workers, Krishna said. "I could easily see 30% of that getting replaced by AI and automation over a five-year period."

That would mean roughly 7,800 jobs lost. Part of any reduction would include not replacing roles vacated by attrition, an IBM spokesperson said.

## Macro-economic forces

## **OPEX** Reduction



### Macro-economic forces

OPEX Reduction Big4: "AI Transformation Projects"



# Can jobs become redundant without people becoming redundant?







## Loneliness

## Even Innovation is Stumped







## "The most crucial investment of our lifetime."



# The GenAl leap

Can we?

## Enterprises can't leverage the value and competitive advantage... ... without governance



# Is GenAl a new risk?

Or is it SaaS?

# Is GenAl a new risk?

### Or is it SaaS?

- High prevalence,
- Ease of use
  - Across roles
- High value proposition
- Immense potential business enablement.

## What Are Our Risks?

Are there new risks?

# Have We Seen Anything Like It Before?

- Internet (Mozilla)
- Social media
- iPhone (smart phones)
- Cloud

## Data Leak

Large Language Models (LLMs) cannot update themselves in real-time and therefore cannot return one's inputs to another's response.



## Data Leak

Large Language Models (LLMs) cannot update themselves in real-time and therefore cannot return one's inputs to another's response, effectively debunking this concern.

... However, this is not necessarily true for the **training of future versions** of these models

## What are the actual risks?



#### Risk Data Privacy and Confidentiality

Estimated Risk Level<sup>2</sup>

High

#### Threat to: Non-public enterprise and private data

Enterprise use of GenAI may result in access and processing of sensitive information, intellectual property, source code, trade secrets, and other data, through direct user input or the API, including customer or private information and confidential information. This has already been reported to be an issue, here.

#### LEGAL CONSIDERATION consult an attorney

- Sending confidential and private data outside of the organization's own servers, much the same as with the cloud, could trigger legal and compliance exposure, as well as risks of information exposure. Such exposure can result from contractual (e.g. with customers) or regulatory obligations (e.g. CCPA, GDPR, HIPAA). The discussion below highlights these types of exposure to support informed risk management and mitigation measures.
- While data sent to GenAl technologies such as ChatGPT has been effectively
  entrusted to a third-party SaaS, i.e. OpenAl (see also the "Third-Party Risk
  and Data Security" section of this table), it is not currently incorporated
  into the LLM in real-time, and thus won't be seen by other users. GenAl
  platforms may choose to use user input to train future models, but that
  doesn't seem to be the case now. See more on this and why we make this
  differentiation here.
- Specifically in the case of OpenAI, according to the company documentation, content submitted to their API is not retained for more than 30 days, and it is opt-out by default, while ChatGPT is opt-in by default and opt-out with fee-based accounts. Nevertheless, information submitted is always subject to storage and processing risk. But, this may affect the risk appetite for different organizations.

#### Enterprise, SaaS, and Third-party Security

Estimated Risk Level<sup>2</sup>

High

Risk

#### Threat to: Non-public enterprise data, third- and fourth-party software

Due to GenAl's wide adoption and proliferation of integrations in thirdparty applications, there are concerns among CISOs that data would be shared with third parties at a much higher frequency than in the past, and potentially following much less predictable patterns.

- With supply chain, increased outsourcing risks can fall into three broad categories: Reliance on third-party security while processing enterprise information, reliance on third-party quality assurance when producing content and code (see Insecure Code Generation below in this table), and integration with GenAl technologies.
- If the GenAI platform's own systems and infrastructure are not secure, potential data breaches, such as <u>the recent one with OpenAI</u> (creators of ChatGPT), may occur and lead to the exposure of sensitive information such as customer data, financial information, and proprietary business information. Further, these platforms are relatively new, and their security experience and posture may increase concerns in security due diligence.
- Third-party applications: GenAI and specifically ChatGPT are quickly being embedded into many third-party platforms like the Microsoft Azure OpenAPI, as well as applications, from the Microsoft Office 365 suite of tools to browser plugins. Thus, the risk is not focused on one service only, and in the case of Office 365, is stated to be a closed-off instance of ChatGPT.
- Considering the limited number of available GenAI platforms, and how they are effectively becoming an infrastructure used for multiple purposes by many organizations, they represent a high-value target for threat actors. Thus, concentration is introduced and overall risk is increased.

#### Risk

AI Behavioral Vulnerabilities (e.g. Prompt Injection)

Estimated Risk Level<sup>2</sup>

High



#### Threat to: Non-public enterprise data, model operator

Actors may use models or cause models to be used in ways which will expose confidential information about the model or cause the model to take actions which are against its design objectives.

- For example, using <u>maliciously crafted inputs</u>, attackers can bypass expected AI behavior or make AI systems perform unexpected jobs. This is sometimes known as "jailbreaking" and might be possible to perform in GenAI systems to adversely impact other organizations and stakeholders to encounter and receive maliciously crafted results without their knowledge.
- On the user side, for <u>example</u>, <u>third party</u> applications leveraging a GenAl API, if compromised, could potentially provide access to email and the web browser, and allow an attacker to take actions on behalf of a user
- One common attack currently seen in the wild is where a customer support chatbot is targeted with injection attacks, and unauthorized access to enterprise systems could potentially be achieved by an attacker.

### Risk Legal and Regulatory<sup>3</sup>

Estimated Risk Level<sup>2</sup>

High



#### Threat to: Regulatory compliance

- Regulatory Consideration (consult an attorney): Using GenAl as part of enterprise processing of PII must be compliant with data privacy regulations such as GDPR (Europe), PIPEDA (Canada), or CCPA (California). In fact, Italy's data protection agency has now temporarily banned the use of ChatGPT specifically (not affecting other GenAl technologies, nor private instances of ChatGPT such as with Microsoft Office 365), due to similar concerns, and Germany is now considering the matter.
- **Regulatory Consideration (consult an attorney):** When GenAl is used as part of a regulated use case in consumer-facing communications, whether for direct consumer interactions or to produce consumer-facing materials (such as consumer information notices), regulatory or private law may include requirements, and create liability.

#### LEGAL CONSIDERATION consult an attorney

The use of ChatGPT in conjunction with a chatbot service, could create legal or regulatory exposure. For example, a company could potentially face regulatory action or even a lawsuit for failing to disclose the fact consumers are interacting with a chatbot service to customers.

# Enterprise GenAl and ChatGPT Policy Considerations

# Enterprise GenAl and ChatGPT Policy Considerations



@alexschwarz\_1

So there's a rule against pumpkins in my dorm but it doesn't say anything about pineapples



# Scope

The scope of a policy for AI/ML can cover several types of technologies

- 1. ChatGPT as a specific service, due to its current popularity;
- 2. GenAl broadly, including systems other than ChatGPT such as Bard, PaLM, and Copilot
- 3. Third party products and services using GenAl technologies
- 4. Enterprise applications using on-premise or cloud-based GenAl technologies in internal development
- 5. Third-party products and services making use of AI/ML technologies, or;
- 6. Internal development of AI/ML models and applications.

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## **Revising Existing Policies**

Most AI/ML-related risks should be covered by existing enterprise policies.

## **Develop New Policies**

GenAI also introduces the need for new policies and controls.

## **Develop New Policies**

- Users may have used cloud services for spell-checking in the past...
- ... But, they have not changed their entire content production workflow or provided raw data
- Incorporation into third-party applications, from the Microsoft Office 365 suite of tools to browser plugins.

## **Considerations in Policy Development**

- How do the GenAl policies affect the enterprise?
- Are these customizable for users?
- What enterprise dependencies could be impacted by the use of GenAI?

## **Considerations in Policy Development**

- What integrations does the application utilizing GenAl provide access to?
  - For example, does a customer support chatbot have access to all user data..
  - ... and is able to offer compensation on missed deliveries, service outages?

## **Considerations in Policy Development**

- Where to report potential violations of policies?
- Elevation vs. escalation points

# Unique to Al

e. Beyond awareness of the risks, and guardrails for engagement withGenAI technology, employees should also be reminded that:

i. It is easy to forget the answers from the other side are not coming from a human.

ii. What they input could potentially be reused by the GenAI technology in the future, when interacting with someone else.

Experimenting with training models	Enterprise analysts can use GenAI to experiment with training models and improve the accuracy of language processing. This can help develop more sophisticated conversational agents and chatbots to handle complex customer queries and interactions.
Research and development to enhance current product offerings or internally developed software	Enterprise product and development teams can use GenAl for research and development to enhance current product offerings or software. GenAl can help develop and improve natural language processing algorithms, which can be later used in various applications such as voice recognition, sentiment analysis, and chatbots.
Use cases to enhance the quality of code	GenAI can be used by programmers in the software development lifecycle to improve code quality. By training the model on large datasets of code and programming languages, GenAI can identify potential known bugs (including security defects), provide suggestions for code optimization, and improve the overall efficiency of the development process.
Data analysis	Analysis of large amounts of data, such as customer feedback, to identify trends and insights.
Customer service and support	Automation of customer service and support tasks, such as answering common questions, assisting with product or service inquiries, and solving common problems.
Content creation	Generating content for marketing and advertising purposes, such as blog posts, social media posts, and email marketing campaigns, at least as a first draft.
	Generating Intellectual Property content, such as graphics and dialogs for relevant companies (e.g. game companies)
Personalization	Personalized customer interactions and experiences, such as recommending products or services based on their preferences or past behavior.
Automation	Automating tasks and processes, such as scheduling appointments, managing inventory, and handling routine administrative tasks.
Innovation	Generating ideas and insights to assist in the development of new products and services, as well as the improvement of existing ones.

## Enterprise use cases
So many options!

- On-prem platform
   Open Source?
- SaaS platform
- Specific tools



Generative Al Goal	Commercial / SaaS-Based Examples	Open Source / On-Premise Options
Audio Transcription and Analysis	<ul><li>Airgram, Descript, Otter</li><li>Chorus, Gong, Revenue.io</li></ul>	• Whisper
Image and Video Creation	<ul><li>Hugging Face, Midjourney</li><li>Runway</li></ul>	<ul><li>Stable Diffusion</li><li>ModelScope</li></ul>
Written Words and Code Generation	<ul> <li>ChatGPT, Jasper, Writesonic</li> <li>Amazon CodeWhisperer, Ghostwriter, GitHub Co-Pilot</li> </ul>	<ul> <li>Alpaca, LLaMA, Vicuna</li> <li>CodeGeeX, GPT-Code-Clippy</li> </ul>

Too many options!

- On-prem platformOpen Source?
- SaaS platform
- Specific tools



Make a decision already!

- On-prem platform
   Open Source?
- SaaS platform
- Specific tools

The 90s and monoculture: Use a specific tool, or a platform?



Accept the risk?

- On-prem platformOpen Source?
- SaaS platform
- Specific tools

Declaring a Risk Exception?



# Making

Accept the r

- On-premOper
- SaaS pla
- Specific

Declaring a

#### "that's out of scope" - said no hacker ever



- On-prem platform
   Open Source?
- SaaS platform
- Specific tools

Declaring a Risk Exception?

Blocking?



#### Making Risk Decisions - Example

The enterprise considers allowing using tools developed and hosted by OpenAI, and should consider enforcing certain administrative guardrails, or principles:

## Making Risk Decisions - Example

- Training opt-out
- Accepting the data retention policy of 30 days
- User history deletion
- Requiring users to follow the Acceptable Use Policy
- Risk-awareness training

Object of Attack	Threats Against Confidentiality (a.k.a. Extraction)	Threats Against Integrity (a.k.a. Manipulation)
User Input	<b>IP Theft:</b> Losing intellectual property through the prompts.	<b>Prompt Injection:</b> Getting GenAl to act in a way that compromises wider system integrity - e.g. a document that when summarized by GenAl results in GenAl providing misleading information, or user input that causes GenAl to take actions that it should not from user input.
	<b>Input Derivation:</b> Deriving input data from the outputs (a.k.a. "Model inversion")	
		<b>Jailbreaks:</b> Getting GenAl to be racist, criminal, etc. (a.k.a. "Adversarial examples"). Some consider these to be sub-type of prompt injection.
Training Data	<b>Training Data Derivation:</b> Deriving training data from the outputs (a.k.a. "Model inversion" again).	<b>Data Poisoning:</b> Introducing bias, false information.
Al Model	Model Theft: Opening the black box.	<b>Backdoor Models:</b> Adding covert functionality which attackers can activate to cause the model to behave ir

# Threat Modeling

ways it has not been designed to.

#### Controls ideas

Risk	Control	
Privacy and Confidentiality	<ul> <li>Legal disclaimer in privacy policies that mention AI is used in products or processes</li> <li>Interactive and explicit end user opt-out when using services that have embedded GenAI</li> </ul>	
Enterprise, SaaS, and Third-party Security	<ul> <li>Filters, masks or scrubs sensitive content between organization APIs and chatbot AI services</li> <li>Secure Enterprise browser</li> </ul>	
AI Behavioral Vulnerabilities (eg. Prompt Injection)	<ul> <li>Models should have input validation to catch malicious prompts</li> <li>Model should have output validation to catch problematic behavior</li> </ul>	
Legal and Regulatory	<ul><li>Review and negotiate, whenever possible, the third party policies and terms of use.</li><li>Licensing of content for use produced by GenAI technologies</li></ul>	
Threat Actor Evolution	<ul> <li>Adjustment of social engineering training to consider targeted and high quality phishing and similar attacks</li> </ul>	
Copyright and Ownership	<ul> <li>Favor solutions trained on curated or licensed content, including the use of internally trained systems using the OpenAI API</li> <li>Detection of intellectual property misuse, or plagiarism (GenAI for cases where <u>content</u> has been copied instead of generated)</li> <li>Trademark detection</li> </ul>	
Insecure Code Generation	<ul> <li>Create a GenAI DMZ/staging ground to observe applications using AI/ML-generated code</li> <li>Code review should include AI/ML-generated code, possibly marked as such</li> </ul>	
Bias and Discrimination	Currently out of scope of this document, as it is a more generic AI/ML issue	
Trust and Reputation	<ul> <li>Consider GenAl data use in enterprise system dependencies</li> <li>Add Al content to review processes</li> <li>Prompt filtering</li> <li>Inclusion of a safety system on top of the Al app to filter and monitor responses.</li> </ul>	
Software Security Vulnerabilities	<ul> <li>Model interactions with other systems should be analyzed to identify potential interactions</li> <li>Use model output filtering to identify problematic outputs</li> </ul>	
Availability, Performance, and Costs	<ul> <li>Map out infrastructure dependencies on systems using GenAl</li> <li>Backup and redundancy</li> <li>Recovery preparedness plan includes GenAI dependencies</li> </ul>	

#### It Goes Beyond the Enterprise

We face a historic opportunity to affect the security and privacy of individuals worldwide.



Prophecy was given to fools... ... and security professionals.



Philosophy:
 Don't Panic



- Philosophy:On't Panic
- Personal:
  - Learn
  - Diversify



- Philosophy:
  - Don't Panic
- Personal:
  - Learn, diversify
  - "We know nothing"
- Professional:
  - Remember to enable the business



It's just another technology





#### **A CISO's Guide:** Generative AI and ChatGPT Enterprise Risks

And Joza Land Old Villa



#### Thank you :)



